

<div>10381 U.S. PTO</div> <div>UTILITY PATENT APPLICATION TRANSMITTAL</div> <div>(Only for new nonprovisional applications under 37 CFR 1.53(b))</div> <div>Check Box, if applicable [ ] Duplicate</div>	Attorney Docket No.	032076	Total Pages	2278 U.S. PTO 101696775
	First Named Inventor or Application Identifier			
	Masayuki FURUHASHI, Toshifumi MORI, Young Suk KIM, Takayuki OHBA and Ryou NAKAMURA			
		Express Mail Label No.		
APPLICATION ELEMENTS FOR: <b>SEMICONDUCTOR DEVICE FABRICATION METHOD</b>		ADDRESS TO: Commissioner for Patents MAIL STOP PATENT APPLICATION P. O. Box 1450 Alexandria, VA 22313-1450		
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Incorporated within this form) <b>(The filing fee is deferred).</b></p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages [66]</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets [33]</p> <p>4. <input type="checkbox"/> Oath or Declaration Total Pages [ ] <b><u>NOT</u> attached; deferred under P.L. 97-247.</b></p> <p>a. <input type="checkbox"/> Newly executed (original)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).</p> <p>i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation by reference (useable if box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement Verifying identity of above copies</p> <p><b><u>ACCOMPANYING APPLICATION PARTS</u></b></p> <p>8. <input type="checkbox"/> Assignment Papers (cover sheet and document(s)) to: <u>FUJITSU LIMITED, Kawasaki, Japan</u> <b>Please publish the assignee data with the application.</b></p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney</p>				

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. **032076**

First Named Inventor or Application Identifier

**Masayuki FURUHASHI, Toshifumi MORI, Young Suk KIM,  
Takayuki OHBA and Ryou NAKAMURA**

**PAGE 2 OF 3**

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement [ 6 ] Copies of IDS Citations (PTO-1449 w/6 refs)

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority [ 1 ] Certified copy of Priority Document(s)

a. Priority of Japanese application no. 2002-317456 filed on October 31, 2002 is claimed under 35 USC 119.

16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee \$770.00
The filing fee is calculated below				
Total Claims	25- 20	5	x \$18.00	\$90.00
Independent Claims	6 - 3	3	x \$86.00	\$258.00
Multiple Dependent Claims			\$290.00	0.00
Basic Filing Fee				\$1118.00
Reduction by 1/2 for small entity				
Fee for recording Assignment			\$40.00	\$40.00
TOTAL				\$1158.00

**XX NO FEE ENCLOSED. THE FILING FEE IS DEFERRED.**

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**032076**

First Named Inventor or Application Identifier

**Masayuki FURUHASHI, Toshifumi MORI, Young Suk KIM,  
Takayuki OHBA and Ryou NAKAMURA**

**PAGE 3 OF 3**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed to cover the filing fee of \$\_\_\_\_\_ and the assignment recordation fee of \$\_\_\_\_\_.
- ☐ Please charge our Deposit Account No. **50-2866** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.
- ☐ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **50-2866**. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS:**

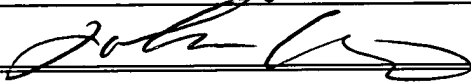
**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**  
**Customer No. 38834**

SUBMITTED BY

Typed or Printed Name **John P. Kong**

Reg. No. 40,054

Signature



Date: October 30, 2003

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP  
1250 Connecticut Avenue, N.W., Suite 700  
Washington, D.C. 20036  
Tel: (202) 822-1100  
Fax: (202) 822-1111  
JPK/amr

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# CHANGE OF CORRESPONDENCE ADDRESS Application

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Application Number	New Application
Filing Date	October 30, 2003
First Named Inventor	Masayuki FURUHASHI, et al.
Art Unit	
Examiner Name	
Attorney Docket Number	032076

Please change the Correspondence Address for the above-identified patent application to:

☒ Customer Number : 38834

OR

<input type="checkbox"/> Firm or Individual Name	Westerman, Hattori, Daniels & Adrian, LLP				
Address	1250 Connecticut Avenue				
Address	Suite 700				
City	Washington	State	DC	Zip	20036
Country	USA				
Telephone	202-822-1100	Fax	202-822-1111		

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

- ☐ Applicant/Inventor
- ☐ Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record. Registration Number 40,054
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed Name John P. Kong

Signature

Date October 30, 2003

Telephone 202-822-1100

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.